



To: Councillor McCaig, Convener; Yuill, Vice-Convener, and Councillors Cameron, Cooney, Crockett, Donnelly, Jackie Dunbar, Graham, Greig, Lawrence, Malik, May, Jean Morrison MBE, Nathan Morrison, Noble, Reynolds and Townson.

Town House,
ABERDEEN 12 November 2014

AUDIT, RISK AND SCRUTINY COMMITTEE

The Members of the **AUDIT, RISK AND SCRUTINY COMMITTEE** are requested to meet in Committee Room 2 - Town House on **THURSDAY, 20 NOVEMBER 2014 at 2.00 pm.**

JANE G. MACEACHRAN
HEAD OF LEGAL AND DEMOCRATIC SERVICES

B U S I N E S S

1 MINUTES, WORKPLAN and DECISION TRACKING

- 1.1 Minute of Meeting of Audit and Risk Committee 23 September 14 (Pages 1 - 10)
- 1.2 Special Audit and Risk Committee Minute of Meeting of 25 September 2014 (Pages 11 - 12)
- 1.3 Workplan (Pages 13 - 20)
- 1.4 Progress of Committee Decisions - Report by the Acting Director of Corporate Governance (Pages 21 - 32)
- 1.5 Decision Tracking Sheet (Pages 33 - 36)

2 PERFORMANCE AND IMPROVEMENT

- 2.1 Elected Member Development - Report by the Acting Director of Corporate Governance (Pages 37 - 40)

- 2.2 Internal Audit Progress and Performance - Report by Internal Audit (Pages 41 - 48)
- 2.3 External Audit Progress and Performance - Report by External Audit (Pages 49 - 54)
- 2.4 Transfer of Internal Audit Services - Report by the Acting Director of Corporate Governance (Pages 55 - 66)
- 2.5 Data Protection Reporting - July to September - Report by the Acting Director of Corporate Governance (Pages 67 - 88)

3 RISK MANAGEMENT

- 3.1 Social Care and Wellbeing Risk Register - Report by the Director of Social Care and Wellbeing (Pages 89 - 122)

4 CONTROL ENVIRONMENT AND ASSURANCE

- 4.1 Compliance with Public Records - Report by Internal Audit (Pages 123 - 148)
- 4.2 Compliance with Laws and Regulations - Report by Internal Audit (Pages 149 - 166)
- 4.3 Fraud Governance - Housing Tenancy and Scottish Welfare Fund - Report by Internal Audit (Pages 167 - 184)
- 4.4 Transport Contracts within Education and Social Work - Report by Internal Audit (Pages 185 - 198)
- 4.5 Procurement Controls Outwith Pecos - Report by Internal Audit (Pages 199 - 214)
- 4.6 Devolved School Management Phase 1 - Report by Internal Audit (Pages 215 - 228)

5 FINANCIAL REPORTING

- 5.1 Report on the 2013/14 Audit - Report by External Auditor (Pages 229 - 272)

6 AUDIT FOLLOW UP

- 6.1 Implementation of Internal Audit Recommendations - Report by Internal Audit (Pages 273 - 300)
- 6.2 UK Information Commissioner (ICO) Data Protection Audit - Progress with Agreed Actions - Report by the Acting Director of Corporate Governance (Pages 301 - 310)
- 6.3 Whistleblowing Policy - Scottish Government Petition - Report by the Acting Director of Corporate Governance (Pages 311 - 318)
- 6.4 Corporate Health and Safety Committee Reporting Arrangements - Report by the Acting Director of Corporate Governance (Pages 319 - 326)
- 7 ITEM THE COMMITTEE MAY WISH TO CONSIDER IN PRIVATE
 - 7.1 Matters Under Investigation
 - 7.2 Areas for Future Consideration

Website Address: www.aberdeencity.gov.uk

Should you require any further information about this agenda, please contact Karen Rennie, tel 522723 or email karrennie@aberdeencity.gov.uk